



## DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION\*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Process for the Preparation of Aromatic Azo-Compounds**

and for which a patent application:

- ☐ is attached hereto and includes amendment(s) filed on (if applicable)
- ☒ was filed in the United States on September 17, 2003 and is identified as Docket No. 9741-010-999
- ☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
0221515.0	Great Britain	September 17, 2002	YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>
			YES <input type="checkbox"/>	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

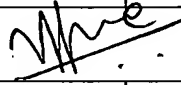
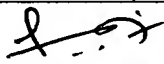
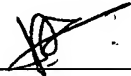
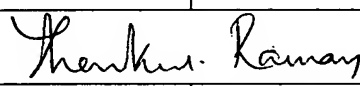
PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

\* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME Gore	FIRST NAME Vinayak	MIDDLE NAME G.	
	RESIDENCE & CITIZENSHIP	CITY New Panvel	STATE OR FOREIGN COUNTRY India	COUNTRY OF CITIZENSHIP India	
	POST OFFICE ADDRESS	STREET 302, Janki Nivas, Plot No. 28, Sector No. 12	CITY New Panvel	STATE OR COUNTRY India	ZIP CODE 410 207
	SIGNATURE OF INVENTOR 201 			DATE 31-10-03	
2 0 2	FULL NAME OF INVENTOR	LAST NAME Ghadge	FIRST NAME Manoj	MIDDLE NAME M.	
	RESIDENCE & CITIZENSHIP	CITY Nerul, Navi Mumbai	STATE OR FOREIGN COUNTRY India	COUNTRY OF CITIZENSHIP India	
	POST OFFICE ADDRESS	STREET Tantrasangam, Flat No. C-104, Sector No. 42	CITY Nerul, Navi Mumbai	STATE OR COUNTRY India	ZIP CODE
	SIGNATURE OF INVENTOR 202 			DATE 31-10-03	
2 0 3	FULL NAME OF INVENTOR	LAST NAME Shembekar	FIRST NAME Vishakha	MIDDLE NAME R.	
	RESIDENCE & CITIZENSHIP	CITY Dombivli	STATE OR FOREIGN COUNTRY India	COUNTRY OF CITIZENSHIP India	
	POST OFFICE ADDRESS	STREET	CITY Dombivli	STATE OR COUNTRY India	ZIP CODE
	SIGNATURE OF INVENTOR 203 			DATE 31-10-03	
2 0 4	FULL NAME OF INVENTOR	LAST NAME Raman	FIRST NAME R.	MIDDLE NAME Venkat	
	RESIDENCE & CITIZENSHIP	CITY Vashi	STATE OR FOREIGN COUNTRY India	COUNTRY OF CITIZENSHIP India	
	POST OFFICE ADDRESS	STREET 206, Vaigyanik Co-op Soc, Plot N. 58-59, Sector No. 14	CITY Vashi	STATE OR COUNTRY India	ZIP CODE 400 705
	SIGNATURE OF INVENTOR 204 			DATE 31-10-03	
2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 205			DATE	

## ASSIGNMENT

WHEREAS, WE, **Vinayak G. Gore**, citizen of India, residing at 302, Janki Nivas, Plot No. 28, Sector No. 12, New Panvel - 410 207, India; **Manoj M. Ghadge**, citizen of India, residing at Tantrasangam, Flat No. C-104, Sector No. 42, Nerul, Navi Mumbai, India; **Vishakh R. Shembekar**, citizen of India, residing at 9/10, Ekata Co-op Hsg. Soc, Navare Compound, Din Dayal Road, Dombivli (W), India; and **R. Venkat Raman**, citizen of India, residing at 206, Vaigyanik Co-op Soc, Plot No. 58-59, Sector No. 14, Vashi 400 705, India, ASSIGNORS, citizens of the United States, residing at , are the inventors of the invention in Process for the Preparation of Aromatic Azo-Compounds for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐  
☒ which is identified by Pennie & Edmonds LLP docket no. 9741-010-999  
☒ which was filed on September 17, 2003  
☒ And for which we hereby authorize and request our attorney, Anthony M. Insogna, of Pennie & Edmonds, LLP, 1155 Avenue of the Americas, New York, New York 10036-2711, to insert here in parentheses (Application number, 10/666,819 filed \_\_\_\_\_) the filing date and application number of said application when known;

and WHEREAS, **GENERIC [UK] LIMITED**, having a place of business at Albany Gate, Darkes Lane, Potters Bar, Hertfordshire, EN6 1AG, United Kingdom, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 10 October, 2003

Vinayak G. Gore L.S.

On 10 October, 2003, before me, \_\_\_\_\_, personally appeared **Vinayak G. Gore**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS

J. Narayan (**J. NARAYAN**)

Z. Fernandes (**ZEENA FERNANDES**)

Date 10 October, 2003

Manoj M. Ghadge L.S.

On 10 October, 2003, before me, \_\_\_\_\_, personally appeared Manoj M. Ghadge, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS

S. Narayan (S. NARAYANAN)

Z. Fernandes (ZEENA FERNANDES)

Date 10 October, 2003

Vishakha R. Shembekar L.S.

On 10 October, 2003, before me, \_\_\_\_\_, personally appeared Vishakha R. Shembekar, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS

S. Narayan (S. NARAYANAN)

Z. Fernandes (ZEENA FERNANDES)

Date 10 October, 2003

R. Venkat Raman L.S.

On 10 October, 2003, before me, \_\_\_\_\_, personally appeared R. Venkat Raman, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS

S. Narayan (S. NARAYANAN)

Z. Fernandes (ZEENA FERNANDES)



Date  
Division/Dept.  
Care of  
Phone  
Fax  
E-Mail

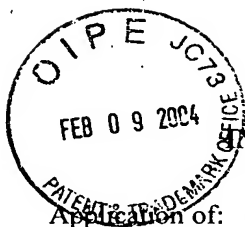
Your letter  
Your ref.

**WITNESSES:**

- 1. MR. S. NARAYANAN**  
602, MAJIWADA JYOTIRMAY CHS  
PAWAR NAGAR  
THANE (W) 400 601
  
- 2. MS. ZEENA FERNANDES**  
MHB/2/266/3489  
TAGORE NAGAR  
VIKHROLI (E)  
MUMBAI 400 083

**Merck Development Centre Private Limited, India**

1A/2, M.I.D.C. Industrial Estate, Talaja,  
Panvel, Dist. Raigad, Maharashtra-410208.  
Tel. : (+91 22) 2740 2900, 2740 2930  
Fax : (+91 22) 2740 2603



Express Mail No. \_\_\_\_\_

**POWER OF ATTORNEY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Gore et al.

Confirmation No.: To be assigned

Serial No.: To be assigned

Art Unit: To be assigned

Filed: September 17, 2003

Examiner: To be assigned

For: Process for the Preparation of Aromatic Azo-Compounds

Attorney Docket No.: 9741-010-999

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71  
WITH STATEMENT UNDER 37 C.F.R. 3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Practitioners at Customer Number 20583 all of Jones Day, whose address is 222 East 41st Street, New York, New York 10017, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Jones Day as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all correspondence for this application to customer no. 20583.

**Statement Under 37 C.F.R. 3.73(b)**

Generics [UK] Limited states that it is:

- ☒ the assignee of the entire right, title, and interest; or  
☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
☒ Copies of assignments or other documents in the chain of title are attached.  
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

**ASSIGNEE: Generics [UK] Limited**

Date:

27 Jan. 2004

Signature:

P.D. Jenkins

Typed Name:

P.D. Jenkins

Position/Title:

Senior Group Manager Patents & IP

Address:

Generics [UK] Limited

Albany Gate

Darkes Lane

Potters Bar

Hertfordshire, EN6 1AG

United Kingdom

## ASSIGNMENT

WHEREAS, WE, **Vinayak G. Gore**, citizen of India, residing at 302, Janki Nivas, Plot No. 28, Sector No. 12, New Panvel - 410 207, India; **Manoj M. Ghadge**, citizen of India, residing at Tantrasangam, Flat No. C-104, Sector No. 42, Nerul, Navi Mumbai, India; **Vishakha R. Shembekar**, citizen of India, residing at 9/10, Ekata Co-op Hsg. Soc, Navare Compound, Din Dayal Road, Dombivli (W), India; and **R. Venkat Raman**, citizen of India, residing at 206, Vaigyanik Co-op Soc, Plot No. 58-59, Sector No. 14, Vashi 400 705, India, ASSIGNORS, citizens of the United States, residing at , are the inventors of the invention in Process for the Preparation of Aromatic Azo-Compounds for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐  
☒ which is identified by Pennie & Edmonds LLP docket no. 9741-010-999  
☒ which was filed on September 17, 2003  
☒ And for which we hereby authorize and request our attorney, Anthony M. Insogna, of Pennie & Edmonds, LLP, 1155 Avenue of the Americas, New York, New York 10036-2711, to insert here in parentheses (Application number, 10/666,819 filed \_\_\_\_\_) the filing date and application number of said application when known;

and WHEREAS, **GENERIC [UK] LIMITED**, having a place of business at Albany Gate, Darkes Lane, Potters Bar, Hertfordshire, EN6 1AG, United Kingdom, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 10 October, 2003

Vinayak G. Gore L.S.

On 10 October, 2003, before me, \_\_\_\_\_, personally appeared **Vinayak G. Gore**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS

J. Narayan (J. NARAYANAN)

Z. Fernandes (ZEENA FERNANDES)



Date 10 October, 2003

Manoj M. Ghadge L.S.

On 10 October, 2003, before me, \_\_\_\_\_, personally appeared **Manoj M. Ghadge**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS

S. Narayan (S. NARAYANAN)

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Z. Fernandes (ZEENA FERNANDES)



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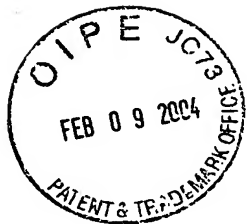
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- 2. MS. ZEENA FERNANDES**  
MHB/2/266/3489  
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MUMBAI 400 083

Merck Development Centre Private Limited, India

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Panvel, Dist. Raigad, Maharashtra-410208.  
Tel. : (+91 22) 2740 2900, 2740 2930  
Fax : (+91 22) 2740 2603



Express Mail No.: EV 335 858 605 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gore *et al.*

Confirmation No.: 8928

Serial No.: 10/666,819

Art Unit: 1626

Filed: September 17, 2003

Examiner: To be assigned

For: PROCESS FOR THE PREPARATION OF  
AROMATIC AZO-COMPOUNDS

Attorney Docket No: 9741-010

TRANSMITTAL OF DECLARATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith in connection with the above-identified application a Declaration For Non-Provisional Patent Application ("Declaration"), executed in by Vinayak G. Gore, Manoj M. Ghadge, Cishakha R. Shembekar and R. Venkat Raman, inventors of the above-identified application.

Applicants further submit herewith a Power of Attorney By Assignee executed by J.D. Jenkins on behalf of Generics [UK] Limited, the Assignee of the entire right, title and interest in, to and under the above-identified patent application.

Respectfully submitted,

Date: February 9, 2004

  
Anthony M. Insogna 35,203  
(Reg. No.)

**JONES DAY**  
1270 High Bluff Drive, Suite 300  
San Diego, California 92130  
(858) 314-1200

Enclosures

By:   
Reg. No. 51615